JULIETTE P. WHITE (9616) MICHAEL W. YOUNG (12282) PARSONS BEHLE & LATIMER 201 South Main Street, Suite 1800 Salt Lake City, UT 84111

Telephone: (801) 532-1234 Facsimile: (801) 536-6111 jwhite@parsonsbehle.com myoung@parsonsbehle.com

Attorneys for Plaintiff Koch Industries, Inc.

IN THE UNITED STATES DISTRICT COURT DISTRICT OF UTAH, CENTRAL DIVISION

KOCH INDUSTRIES, INC., a Kansas corporation,

Plaintiff,

VS.

JOHN DOES 1-25,

Defendants.

Case No. 2:10-cv-01275-DAK

Judge Dale A. Kimball

COMPLAINT

Plaintiff Koch Industries, Inc. ("Koch" or "Plaintiff") states the following for its Complaint against Defendants John Does 1-25 ("Defendants"):

Nature of the Action

1. Koch brings this action to redress Defendants' misappropriation of Koch's intellectual property and impersonation of Koch for the purpose of

deceiving the public and promoting Defendants' agenda. Koch supports open and honest public discourse. Defendants' impersonation of Koch and misappropriation of its intellectual property are antithetical to honest discourse because they deceive the public respecting Koch's true position on issues and respecting Defendants' motives. Accordingly, Plaintiff brings this action at law and in equity for trademark infringement, cybersquatting, and unfair competition arising under the federal Lanham Act, codified as amended at 15 U.S.C. §§ 1051-1127, the Anticybersquatting Consumer Protection Act, 15 U.S.C. § 1125(d), and state common law, and for violation of the Computer Fraud and Abuse Act, 18 U.S.C. § 1030 arising out of the Defendants' impersonation of Plaintiff, use of Plaintiff's trademarks, and improper access to and use of Plaintiff's website.

Jurisdiction and Venue

- 2. This Court has subject matter jurisdiction under Section 39 of the Lanham Act, 15 U.S.C. § 1121, and under 15 U.S.C. §§ 1331 and 1338.
- 3. Defendants have entered contracts in this district to register a domain name and to host a website and have operated a website hosted on servers located in this district, have engaged in tortious acts or omissions within this district, and have otherwise made or established contacts with this district sufficient to permit the exercise of personal jurisdiction.

4. Venue is proper in this district under 28 U.S.C. § 1391 because a substantial part of the events giving rise to the claim have occurred and are occurring in this district.

Parties

- 5. Plaintiff Koch Industries, Inc. is a corporation organized under the laws of the State of Kansas. Koch Industries, Inc. is the owner of the trademarks at issue in this suit.
- 6. Defendants John Does 1 through 25 (the "Doe Defendants") are individuals who acted jointly and in concert to commit the acts complained of herein. The Doe Defendants' true names and capacities are presently unknown to Plaintiffs, but each of the fictitiously named Defendants is responsible in some manner for the acts and occurrences alleged in this complaint and each of the fictitiously named Defendants proximately caused the damages alleged herein.
- 7. The Defendants and each of them are responsible for the acts and omissions of the others and are parties acting in active concert and participation with each other, and have each aided, abetted, and facilitated, and participated in the acts giving rise to the claims alleged herein.

FACTUAL BACKGROUND

Koch Industries, Inc. and Its Trademark Rights

- 8. Founded almost 70 years ago, Koch Industries, Inc. owns multiple companies involved in a wide variety of industry areas, including energy supply, fibers for carpeting and garments, chemicals used in manufacturing, process and pollution control equipment, forest and consumer products, and commodity trading.
- 9. For decades, the Koch companies have provided excellent goods and services and enjoyed tremendous success, with the company's value having grown significantly since 1960. Given the size, strength, and extent of the company's operations, policy statements by Koch on current issues, including those related to environmental matters, receive tremendous public attention
- 10. The Koch name is associated with innovation, operations excellence, and world class performance and is well-known and recognized in business, financial, and industrial sectors. Koch's Market Based Management® philosophy, a proprietary approach to management, is based on economic freedom and promotes the implementation of free market principles in management of a business organization, and has received substantial unsolicited publicity and is widely known and admired for the successful results it garners.

11. In addition to the KOCH name and mark, Koch has adopted and used several other trademarks to identify and promote its services, including a distinctive stylized K design, used since 1969:



(the "Stylized K Mark"), and the marks MARKET BASED MANAGEMENT®, used since 1992, and MBM®, used since 1994, in connection with Koch's unique management system (all of Koch's marks are hereinafter collectively referred to as the "Koch Marks").

- 12. Koch owns a number of federal registrations for its marks, including Reg. No. 1,961,169 for MARKET BASED MANAGEMENT®, Reg. No. 2,072,115 for MBM®, and Reg. No. 2,633,859 for the Stylized K. Copies of the registrations for these marks are attached as Collective Exhibit A.
- 13. As part of the promotion of Koch's business and the Koch Marks, since 1996, Koch has maintained a website under the domain name kochind.com, where it provides information about and promotes all of its businesses, activities, and policy viewpoints, and where it prominently displays the KOCH name and mark, together with the Stylized K, MBM®, and MARKET BASED

MANAGEMENT® marks. A true and correct copy of the homepage of Plaintiff's website is attached as Exhibit B. The website receives millions of visits each year, receiving well over 62,000 average visits per day and over 1,800,000 average visits per month during the period from December 1, 2009 to November 30, 2010.

14. As a result of Koch's tremendous success and the press the company receives, the KOCH name and Marks have become well known and associated with Koch Industries, Inc.

Defendants' Improper Acts

- 15. On or before December 10, 2010, Defendants registered the domain name koch-inc.com (the "Infringing Domain Name"), even though they have no legitimate interest in a KOCH formative name and were not authorized to use one. Defendants contracted with the domain registration company, Fast Domains, located in this District, to effect their registration.
- 16. On or about December 10, 2010, by improperly accessing and copying content from Plaintiff's website, Defendants set up a website at the kochinc.com domain, with a homepage that was a virtual copy of the homepage on Plaintiff's website. Defendant's website included displays of the Koch Marks and links to Plaintiff's true website. To host its website, Defendants used servers provided by a web hosting company, BlueHost.com, located in this District.

- 17. Defendants then impersonated Plaintiff by issuing a false press release attributed to Plaintiff and containing statements regarding environmental issues, with quotes attributed to Plaintiff's Chief Executive Officer, who is a significant shareholder of PLaintiff. As shown in the copy of the press release attached as Exhibit C, Defendants embedded in the release a link to Defendants' fake website.
- 18. Defendants issued the false press release and set up the fake website with the intent to deceive and confuse the public, to disrupt and harm Plaintiff's business and reputation, and to draw attention to and funding for Defendants' activities.
- 19. As a result of Defendant's actions, the public was deceived and confused because news organizations published the false press release and Plaintiff received numerous inquiries about it. In addition, as a result of Defendants' actions, Plaintiff's business and reputation were harmed, and Plaintiff incurred monetary damages, including costs associated with spending time and money to respond to inquiries about the fake press release and Defendants' other fraudulent activities, investigative and legal expenses associated with determining the host for Defendant's website and contacting the host to have it taken down, and investigative and legal expenses associated with ascertaining the identity of

Defendants. Accordingly, Plaintiff brings this action to rectify the harm Defendants have already caused and to prevent their causing further harm.

COUNT I FEDERAL TRADEMARK AND SERVICE MARK INFRINGEMENT

- 20. Koch repeats and incorporates by reference the allegations contained in Paragraphs 1 through 19 as if set forth fully herein.
- 21. Defendants' unauthorized use of confusingly similar imitations of Koch's registered marks likely caused and if continued, is likely to cause confusion, deception, and mistake by creating the false and misleading impression that their imitation website and their press release are affiliated, connected, or associated with Koch Industries, Inc. or has the sponsorship, endorsement, or approval of Koch Industries, Inc., all in violation of 15 U.S.C. § 1114.
- 22. Defendants' unauthorized use of confusingly similar imitations of Koch Industries, Inc.'s registered marks, notwithstanding their knowledge of Koch Industries, Inc.'s ownership of the marks, demonstrates an intentional, willful, and bad faith intent to trade on the goodwill of the Koch Marks and to cause confusion, deception, and mistake in the minds of the public to the great and irreparable injury of Koch Industries, Inc.. Defendants have acted knowingly and have been unjustly enriched thereby.

23. Because Defendants' conduct caused and is likely to cause substantial injury to the public and to Koch, Koch is entitled to injunctive relief, and to recover Defendants' trebled profits associated with the infringement, Koch's costs, and Koch's reasonable attorneys' fees pursuant to 15 U.S.C. § 1117.

COUNT II <u>VIOLATION OF THE ANTICYBERSQUATTING CONSUMER</u> <u>PROTECTION ACT</u>

- 24. Koch repeats and incorporates by reference the allegations contained in Paragraphs 1 through 19 as if set forth fully herein.
- 25. The domain name koch-inc.com is confusingly similar to the KOCH Mark and to Plaintiff's domain name, kochind.com.
- 26. Defendants registered and used the Infringing Domain Name with the bad faith intent of causing harm to Plaintiff and its brands and of profiting unlawfully from Koch Industries, Inc.'s mark by using Koch's mark to call attention to and promote Defendants' agenda, and to obtain funding for their activities.
- 27. Defendants registered and used the Infringing Domain Name with the intent to divert consumers from Koch's online location to their website accessible through the Infringing Domain Name and with the bad faith intent to harm Plaintiff's goodwill and to profit from Plaintiff's mark by creating a likelihood of

confusion as to source, sponsorship, affiliation, or endorsement of the Defendants' site.

- 28. Defendants' actions constitute cyberpiracy in violation of 15 U.S.C. § 1125(d).
- 29. Defendants' unauthorized registration and use of the Infringing Domain Name have caused and unless enjoined, will continue to cause, irreparable injury to Koch Industries, Inc. and to the goodwill associated with the KOCH mark and domain name.
- 30. Because Defendants' infringing conduct has caused and is likely to cause substantial injury to the public and to Koch, Koch is entitled to injunctive relief, and to recover either statutory damages under 15 U.S.C. § 1117(d) or Defendants' trebled profits, together with Koch's costs and reasonable attorneys' fees pursuant to 15 U.S.C. § 1117(a).

COUNT III FEDERAL UNFAIR COMPETITION

- 31. Koch repeats and incorporates by reference the allegations contained in Paragraphs 1 through 19 as if set forth fully herein.
- 32. Defendants' use of confusingly similar imitations of the Koch Marks has caused and is likely to cause confusion, deception, and mistake by creating the

false and misleading impression that Defendants' website is affiliated, connected, or associated with Koch Industries, Inc. in violation of 15 U.S.C. § 1125(a).

- 33. Defendants' actions demonstrate an intentional, willful, and bad faith intent to trade on Koch Industries, Inc.'s goodwill and to cause confusion, deception, and mistake by implying a nonexistent affiliation or relationship between Defendants' website and press release and Koch to the great and irreparable injury of Koch.
- 34. Because Defendants' unfair competition has caused and is likely to cause substantial injury to the public and to Koch, Koch is entitled to injunctive relief, and to recover Defendants' trebled profits associated with the infringement, Koch's costs, and Koch's reasonable attorneys' fees pursuant to 15 U.S.C. §§ 1116 and 1117.

COUNT IV VIOLATION OF THE COMPUTER FRAUD AND ABUSE ACT

- 35. Koch repeats and incorporates by reference the allegations contained in Paragraphs 1 through 19 as if set forth fully herein.
- 36. Defendants have, knowingly and with the intent to defraud, fraudulently accessed Koch's protected computer via the kochind.com website and exceeded the authorized access granted by Koch to that website. As a result of

Defendants' conduct, Defendants' obtained valuable and protected content and information, which Defendants used to further their fraudulent activity.

37. Because Defendants' fraud and computer abuse has caused and is likely to cause substantial damage to Koch, Koch is entitled to injunctive relief and compensatory damages pursuant to 18 U.S.C. § 1030(g).

COUNT V COMMON LAW INFRINGEMENT AND UNFAIR COMPETITION

- 38. Koch Industries, Inc. repeats and incorporates by reference the allegations contained in Paragraphs 1 through 19 as if set forth fully herein.
- 39. Defendants have used confusingly similar imitations of the Koch Marks with full knowledge of Koch's rights to the marks and with the willful and calculated purpose of harming or trading on Koch Industries, Inc.'s established goodwill and business reputation, and in a manner calculated to imply false sponsorship of or approval by Koch Industries, Inc., for the purpose of misleading and deceiving the public.
- 40. Defendants have used confusingly similar imitations of Koch's electronic URL address, with full knowledge of Koch's rights, and with the willful and calculated purpose of harming or trading on Koch's established goodwill and

business reputation, and in a manner calculated to imply false sponsorship of or approval by Koch, for the purpose of misleading and deceiving the public.

- 41. Defendants' conduct constitutes infringement of Koch's common law rights to the its marks and has damaged and will continue to damage irreparably Koch's goodwill and reputation unless enjoined by this Court.
- 42. Independent of their liability for common law infringement,

 Defendants also engaged in unfair competition under the common law of Utah and
 the other states in which they have engaged in their activities through their reliance
 on consumer mistakes and confusion, and their deliberate efforts to poach upon
 Koch Industries, Inc.'s goodwill.

COUNT VI BREACH OF TERMS AND CONDITIONS OF SITE

- 43. Koch repeats and incorporates by reference the allegations contained in Paragraphs 1 through 19 as if set forth fully herein.
- 44. Use of Koch's website is subject to terms and conditions published on the website, including the following:

The information and materials on this Web site and all intellectual property rights in or relating to them are the property of the Koch Companies, and any reproduction, publication, broadcast or posting by you for your own benefit is prohibited unless you obtain prior written approval from the Koch Companies.

- 45. By using Koch's website, Defendants agreed to its terms and conditions.
- 46. By posting a site that copied content from Koch's website, Defendants breached the terms and conditions.
 - 47. Defendants are thereby liable to Plaintiff for breach of contract.

PRAYER FOR RELIEF

WHEREFORE, Koch Industries, Inc. prays:

- 1. That Defendants, their partners, agents, employees, and all persons in active concert or participation with Defendants, be permanently enjoined and restrained from:
 - a) using the Koch Marks, the Infringing Domain Name, and any trademark, company name, or domain name that is confusingly similar to any of Koch Industries, Inc.'s marks;
 - b) engaging in any other conduct which will cause, or is likely to cause, confusion, mistake, deception, or misunderstanding as to source, or to the affiliation, connection, association, origin, sponsorship, or approval of Defendants' website or other activities with or by Koch Industries, Inc.;

- c) otherwise infringing upon Koch Industries, Inc.'s marks or unfairly competing with Koch Industries, Inc. in any manner whatsoever;
- d) accessing or using any content of Plaintiff's websites except as permitted by law; and
- e) impersonating Plaintiff or any of its affiliates or representatives.
- 2. That an accounting be ordered and judgment be rendered against

 Defendants for all profits received from their actions utilizing the Koch Marks, the

 Infringing Domain Name, and any confusingly similar imitations of Koch

 Industries, Inc.'s marks.
- 3. That the award of profits resulting from Defendants' infringement, unfair competition, and false designation of origin of products and services be trebled.
 - 4. That Koch Industries, Inc. recover its actual damages.
- 5. That the award of actual damages from Defendants' infringement, unfair competition, and false designation of origin of products and services be trebled.
- 6. That Koch Industries, Inc. recover statutory damages in the amount of \$100,000.00 under 15 U.S.C. § 1117(d) for the Infringing Domain Name.

- 7. That Defendants be required to deliver up for destruction all advertising and promotional materials, all packaging materials, and all business documents, including labels, cartons, brochures, business stationary, calling cards, information sheets, posters, signs, and any and all other printed or graphic materials of any type, including the plates, molds, or other means of producing the materials, which bear references to confusingly similar imitations of Koch Industries, Inc.'s marks, or to the Infringing Domain Name.
- 8. That Defendants be directed to file with the Court and serve on Koch Industries, Inc., within thirty (30) days after entry of a final injunction, a report in writing under oath setting forth in detail the manner and form in which Defendants have complied with the injunction.
- 9. That Koch Industries, Inc. be awarded its costs in connection with this suit, including reasonable attorneys' fees and expenses.
- 10. That Koch Industries, Inc. have such other and further relief as the Court may deem just and proper.

Dated this 28th day of December, 2010.

/s/ Michael W. Young

Juliette P. White Michael W. Young PARSONS, BEHLE & LATIMER 201 South Main Street, Suite 1800 Salt Lake City, Utah 84111 (801) 532-1234 (telephone) (801) 536-6111 (facsimile) jwhiteparsonsbehle.com

Attorneys for Plaintiff Koch Industries, Inc.

Of Counsel:

Judith A. Powell KILPATRICK STOCKTON LLP 1100 Peachtree Street Suite 2800 Atlanta, Georgia 30309 (404) 815-6500 (telephone) (404) 815-6555 (facsimile) jpowell@kilpatrickstockton.com

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S JS 44 (Rev. 12/07)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

I. (a) PLAINTIFFS KOCH INDUSTRIES, INC.				DEFENDAN JOHN DOES			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
(b) County of Residence of First Listed Plaintiff Sedgewick County KS (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence of First Listed Defendant UNKNOWN (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED.						
(c) Attorney's (Firm Name, Address, and Telephone Number) Juliette P. White, Parsons Behle & Latimer, 201 S Main St #1800, Salt Lake City, UT 84111 (801) 532-1234				Attorneys (If Kno	own)					
II. BASIS OF JURISD	III. C				PAL PARTIES					
□ 1 U.S. Government Plaintiff □ 3 Federal Question (U.S. Government Not a Party)			Citizen o	(For Diversity Cases Only) PTF DEF Citizen of This State DEF I Incorporated or Principal Place of Business In This State						DEF 4
☐ 2 U.S. Government Defendant ☐ 4 Diversity (Indicate Citizenship of Parties in Item III)				of Another State			of Business In Ar			□ 5
Citizen or Subject of a 3 3 Foreign Nation Foreign Country									6	□ 6
IV. NATURE OF SUIT	(Place an "X" in One Box O				1000				OTT 1	TERES.
CONTRACT	TORT			ORFEITURE/PENA 510 Agriculture	LTY		ANKRUPTCY	OTHER		
110 Insurance 120 Marine 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excl. Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle 355 Motor Vehicle 355 Motor Vehicle Product Liability 360 Other Personal Injury CIVIL RIGHTS 441 Voting 442 Employment 443 Housing/ Accommodations 444 Welfare 445 Amer. w/Disabilities - Employment 446 Amer. w/Disabilities - Other 440 Other Civil Rights	PERSONAL INJUR 362 Personal Injury- Med. Malpractice 365 Personal Injury- Product Liability 368 Asbestos Person Injury Product Liability PERSONAL PROPEI 370 Other Fraud 371 Truth in Lendin 380 Other Personal Property Damage 385 Property Damage Product Liability PRISONER PETITIC 510 Motions to Vac. Sentence Habeas Corpus: 530 General 535 Death Penalty 540 Mandamus & O 550 Civil Rights 555 Prison Condition	RTY G	620 Other Food & Drug 625 Drug Related Seizure of Property 21 USC 881 630 Liquor Laws 640 R.R. & Truck 650 Airline Regs. 660 Occupational Safety/Health 690 Other LABOR 710 Fair Labor Standards Act 720 Labor/Mgmt. Relations 730 Labor/Mgmt. Reporting & Disclosure Act 740 Railway Labor Act 790 Other Labor Litigation 791 Empl. Ret. Inc. Security Act IMMIGRATION 462 Naturalization Application 463 Habeas Corpus Alien Detainee 465 Other Immigration Actions		422 Appeal 28 USC 158 423 Withdrawal 28 USC 157 PROPERTY RIGHTS 820 Copyrights 830 Patent 840 Trademark 840 Trademark 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405(g)) 864 SSID Title XVI 865 RSI (405(g)) FEDERAL TAX SUITS 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS − Third Party 26 USC 7609		□ 400 State Reapportionment □ 410 Antitrust □ 430 Banks and Banking □ 450 Commerce □ 460 Deportation □ 470 Racketeer Influenced and Corrupt Organizations □ 480 Consumer Credit □ 490 Cable/Sat TV □ 810 Selective Service □ 850 Securities/Commodities/ Exchange □ 875 Customer Challenge □ 12 USC 3410 □ 890 Other Statutory Actions □ 891 Agricultural Acts □ 892 Economic Stabilization Act □ 893 Environmental Matters □ 894 Energy Allocation Act □ 895 Freedom of Information Act □ 900 Appeal of Fee Determination Under Equal Access to Justice □ 950 Constitutionality of State Statutes		
V. ORIGIN Original Proceeding Class an "X" in One Box Only) Transferred from Proceeding Original Proceeding Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 15 USC sections 1051-1127; 18 USC Original Proceeding Original Proceedin										
VI. CAUSE OF ACTION Brief description of cause: Misappropriation of intellectual property										
VII. REQUESTED IN COMPLAINT:	UNDER F.R.C.P. 2	S A CLASS ACTIO	N]	DEMAND \$	TO DETERM AT TH	MINIED	CHECK YES only JURY DEMAND			
VIII. RELATED CASI	(See instructions):	JUDGE				DOC	KET NUMBER			
DATE SIGNATURE OF ATTORNEY OF RECORD December 28, 2010										
FOR OFFICE USE ONLY										
RECEIPT #	AMOUNT	APPLYING IFP			DGE		MAG IIID	CE		

Exhibit A

Int. Cls.: 35 and 41

Prior U.S. Cls.: 100, 101, 102, and 107

Reg. No. 1,961,169

United States Patent and Trademark Office

Registered Mar. 5, 1996

SERVICE MARK SUPPLEMENTAL REGISTER

MARKET BASED MANAGEMENT

KOCH INDUSTRIES, INC. (KANSAS CORPORATION)
4111 EAST 37TH STREET, NORTH
WICHITA, KS 67220

FOR: BUSINESS MANAGEMENT AND CONSULTATION SERVICES IN THE FIELD OF BUSINESS MANAGEMENT, IN CLASS 35 (U.S. CLS. 100, 101 AND 102).

FIRST USE 11-0-1992; IN COMMERCE 11-0-1992.

FOR: EDUCATIONAL SERVICES, NAMELY CONDUCTING SEMINARS IN THE FIELD OF

BUSINESS MANAGEMENT AND DISTRIBUTION OF TRAINING MATERIALS USED IN CONJUNCTION THEREWITH, IN CLASS 41 (U.S. CLS. 100, 101 AND 107).

FIRST USE 11-0-1992; IN COMMERCE 11-0-1992.

SER. NO. 74-525,286, FILED P.R. 5-16-1994; AM. S.R. 10-20-1995.

DARLENE BULLOCK, EXAMINING ATTORNEY

Int. Cls.: 35 and 41

Prior U.S. Cls.: 100, 101, 102, and 107

Reg. No. 2,072,115

United States Patent and Trademark Office

Registered June 17, 1997

SERVICE MARK PRINCIPAL REGISTER

MBM

KOCH INDUSTRIES, INC. (KANSAS CORPORATION)
4111 EAST 37TH STREET, NORTH
WICHITA, KS 67220

FOR: BUSINESS MANAGEMENT CONSULTATION, IN CLASS 35 (U.S. CLS. 100, 101 AND 102).

FIRST USE 6-0-1994; IN COMMERCE 6-0-1994.

FOR: EDUCATIONAL SERVICES, NAMELY, CONDUCTING SEMINARS IN THE FIELD OF

BUSINESS MANAGEMENT, AND DISTRIBUTION OF TRAINING MATERIALS USED IN ASSOCIATION WITH THE SERVICES, IN CLASS 41 (U.S. CLS. 100, 101 AND 107).

FIRST USE 6-0-1994; IN COMMERCE 6-0-1994.

SN 74-524,673, FILED 5-16-1994.

DARLENE BULLOCK, EXAMINING ATTORNEY

Int. Cls.: 36, 37, 39, 40 and 42

Prior U.S. Cls.: 100, 101, 102, 103, 105 and 106

Reg. No. 2,633,859 Registered Oct. 15, 2002

United States Patent and Trademark Office

SERVICE MARK PRINCIPAL REGISTER



KOCH ENTERPRISES, INC. (KANSAS COR-PORATION) 4111 EAST 37TH STREET NORTH WICHITA, KS 67220

FOR: RISK MANAGEMENT SERVICES IN THE FIELD OF COMMODITY DERIVATIVES TRADING, NAMELY, ON AND OFF-EXCHANGE DERIVATIVES OF PHYSICAL COMMODITIES AND RELATED INDEXES, INTEREST RATE INDEXES, AND EQUITY INDEXES, EQUITIES AND FIXED- INCOME INSTRUMENTS; FINANCING AND FINANCIAL STRUCTURING SERVICES, NAMELY TAX EXEMPT MUNICIPAL FINANCING, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

FIRST USE 4-1-1969; IN COMMERCE 4-1-1969.

FOR: INSTALLATION AND MAINTENANCE OF PROCESS EQUIPMENT FOR REFINING, CHEMICAL, UTILITY AND POWER, PULP AND PAPER, SULFURIC ACID, AND AUTOMOBILE INDUSTRIES; MAINTENANCE OF NATURAL GAS TREATING FACILITIES; MAINTENANCE OF COMBUSTION EQUIPMENT AND VAPOR RECOVERY EQUIPMENT; AND INSTALLATION AND MAINTENANCE OF CROSSFLOW MEMBRANE FILTRATION PROCESS EQUIPMENT AND SYSTEMS FOR THE INDUSTRIAL, FOOD, WATER, CHEMICAL, ENVIRONMENTAL PROTECTION AND BIOTECHNOLOGY INDUSTRIES, IN CLASS 37 (U.S. CLS. 100, 103 AND 106).

FIRST USE 9-1-1968; IN COMMERCE 9-1-1968.

FOR: TRANSPORTATION BY WAY OF SHIPS, BARGES AND TRUCKS, AND STORAGE OF GAS

LIQUIDS, ANHYDROUS AMMONIA, CRUDE OIL AND NATURAL GAS; TRANSPORTING FOR OTHERS DRY BULK GOODS, IN THE NATURE OF MINERAL AND METAL PRODUCTS, ON WATERWAYS BY BOAT OR BARGE; TRANSPORTING LOGISTICS SERVICES, NAMELY, ARRANGING TRANSPORTATION FOR OTHERS OF DRY BULK GOODS IN THE FIELD OF MINERAL AND METAL PRODUCTS; AND BY-PRODUCT MANAGEMENT IN THE NATURE OF OPERATING BULK HANDLING FACILITIES, PROVIDING MULTI-MODAL TRANSPORTATION SERVICES, AND TERMINALING SERVICES, IN CLASS 39 (U.S. CLS. 100 AND 105).

FIRST USE 9-1-1968; IN COMMERCE 9-1-1968.

FOR: OIL AND GAS REFINERY SERVICES: HY-DROCARBON PROCESSING SERVICES; PROCES-SING GAS LIQUIDS; PROCESSING OF ANHYDROUS AMMONIA, CRUDE OIL, AND NAT-URAL GAS FOR OTHERS; CUSTOM MANUFAC-TURE OF PROCESS EQUIPMENT FOR REFINING, CHEMICAL, FOOD PROCESSING, PAPER, TEX-TILES AND ENERGY INDUSTRIES; CUSTOM MANUFACTURE OF NATURAL GAS TREATING FACILITIES; CUSTOM MANUFACTURE OF PAR-TIAL DISCHARGE MONITORING EQUIPMENT FOR LARGE ELECTRIC MOTORS AND GENERA-TORS; CUSTOM MANUFACTURE OF MASS TRANSFER PLANTS AND EQUIPMENT; WHEAT PROCESSING; AND CUSTOM MANUFACTURE OF CROSSFLOW MEMBRANE FILTRATION PROCESS EQUIPMENT FOR THE INDUSTRIAL, FOOD, WA-TER, CHEMICAL, ENVIRONMENTAL PROTEC-TION AND BIOTECHNOLOGY INDUSTRIES, IN CLASS 40 (U.S. CLS. 100, 103 AND 106).

FIRST USE 9-1-1968; IN COMMERCE 9-1-1968.

FOR: CONSULTATION IN THE FIELD OF OIL AND GAS REFINERIES: EXPLORATION OF CRUDE OIL; DESIGN OF PROCESS EQUIPMENT FOR OTHERS, SAID EQUIPMENT FOR USE IN THE REFINING, CHEMICAL, FOOD PROCESSING, PA-PER, TEXTILES AND ENERGY INDUSTRIES; AR-CHITECTURAL DESIGN OF NATURAL GAS TREATING FACILITIES; DEVELOPMENT AND DE-SIGN OF LIQUID-LIQUID EXTRACTION EQUIP-MENT FOR OTHERS; DESIGN OF PARTIAL DISCHARGE MONITORING EQUIPMENT, FOR OTHERS, FOR LARGE ELECTRIC MOTORS AND GENERATORS; INDUSTRIAL PROCESS DIAGNOS-TICS TESTING, NAMELY DISTILLATION COL-UMN SCANNING, TRACING, INFRARED, RADIOGRAPHY, MAGNETIC PARTICLE, ULTRA-SONIC AND LIQUID PENETRANT TESTING, FOR THE PROCESSING, ELECTRICAL AND MANU-FACTURING INDUSTRIES; ENGINEERING AND DESIGN SERVICES IN THE FIELD OF MASS TRANSFER PLANTS AND EQUIPMENT; CONSULTING, ENGINEERING AND DESIGN SERVICES FOR OTHERS IN THE FIELD OF CROSSFLOW MEMBRANE FILTRATION PROCESSES AND FILTRATION SYSTEMS FOR THE INDUSTRIAL, FOOD, WATER, CHEMICAL, ENVIRONMENTAL PROTECTION, AND BIOTECHNOLOGY INDUSTRIES; AND ENGINEERING AND DESIGN SERVICES FOR OTHERS IN THE FIELD OF HEAT TRANSFER EQUIPMENT, IN CLASS 42 (U.S. CLS. 100 AND 101).

FIRST USE 9-1-1968; IN COMMERCE 9-1-1968.

OWNER OF U.S. REG. NOS. 943,872, 1,348,543, AND 2,323,778.

SER. NO. 75-836,442, FILED 10-29-1999.

MARC LEIPZIG, EXAMINING ATTORNEY



United States Patent and Trademark Office

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Assignments on the Web > Trademark Query

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: <u>75836442</u> **Filing Dt:** 10/29/1999 **Reg #:** <u>2633859</u> **Reg. Dt:** 10/15/2002

Registrant: Koch Enterprises, Inc.

Mark: K
Assignment: 1

Reel/Frame: <u>2589/0133</u> Received: 12/30/2002 Recorded: 12/30/2002 Pages: 3

Conveyance: NUNC PRO TUNC ASSIGNMENT

Assignor: KOCH ENTERPRISES, INC. Exec Dt: 12/11/2002

Entity Type: CORPORATION

Citizenship: KANSAS

Assignee: KOCH INDUSTRIES, INC.

4111 EAST 37TH STREET NORTH

Citizenship: KANSAS

Citizenship: KANSAS

Correspondent: STINSON MORRISON HECKER LLP

CONSTANCE M. JORDAN 1201 WALNUT, SUITE 2800 KANSAS CITY, MO 64106-2150

WICHITA, KANSAS 67220

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Exhibit B

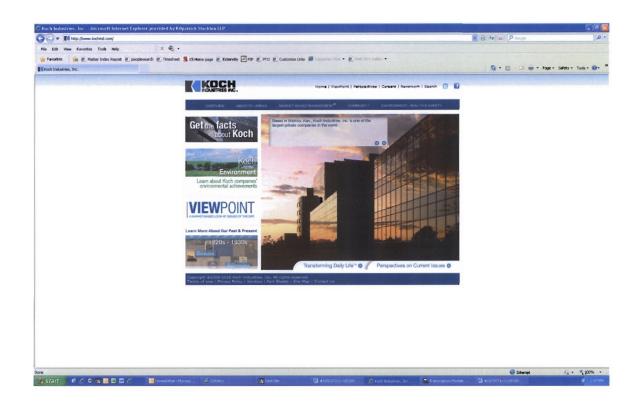


Exhibit C

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----Original Message----

From: Kate Anderson [mailto:press@koch-inc.com]

Sent: Friday, December 10, 2010 10:02 AM

To: kate.anderson@koch-inc.com

Subject: Koch Industries Announces New Environmental Commitments

December 10, 2010

Contact:

Kate Anderson kate.anderson@koch-inc.com 281-305-8418

KOCH INDUSTRIES ANNOUNCES NEW ENVIRONMENTAL COMMITMENTS

WICHITA, Kan. - Koch Industries remains committed to environmental responsibility and stewardship, announcing today that it will restructure its support for organizations that undertake climate change research and advocacy.

Since its founding, Koch Industries has been focused on achieving environmental excellence and using resources efficiently. Through extensive and award-winning efforts and investments, Koch Industries has implemented innovative practices that reduce energy use and greenhouse gas emissions in the manufacture and distribution of our products.

Based on recent internal evaluations of Koch Industries priorities, the company will be restructuring its support of climate change research and advocacy initiatives and will discontinue contributions to groups whose positions on climate change no longer match those of the company's leadership, beginning in January 2011.

Koch Industries is proud of its charitable history, particularly with regard to organizations such as Americans for Prosperity, Fraser Institute, Foundation for Research on Economics and the Environment, the Manhattan Institute for Policy Research, and the George C. Marshall Institute. These organizations have done much important work to advance science and policy on the issue of climate change.

However, based on a recent internal and thorough company review, Koch Industries has decided that its best course forward includes a discontinuation of funding for these organizations, and organizations like them, whose positions on climate change could jeopardize America's continued global competitiveness in the energy and chemical sectors and Koch Industries' ability to provide high-quality products and services to the American people.

"Koch Industries is proud of its environmental record, as well as its long history of providing trusted goods and services to its customers," said CEO Charles Koch. "Our top priority has always been to create real, long-term value in society through principled behavior. It is the only way a business can survive and prosper."

More complete information is available at www.koch-inc.com.

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